

SN# 09/932,183

Attorney Docket No. GC394C1-US

☐ Urg nt☐ Confidential☐ Action Required☐ Reply Requested☐ For Your Info.**FAX COVER SHEET****TO: Box Duplicate TC1600****LOCATION: USPTO****Fax No.: (703) 308-4556(TC1600)****FROM: Carol See for Kamrin T. MacKnight****LOCATION: GENENCOR INTERNATIONAL, INC.
Legal Department
925 Page Mill Road
Palo Alto, CA 94304-1013
Tel: 650-846-5838
Fax: 650-845-6504****DATE: April 26, 2002****RE: GC394C1-US, Serial No. 09/932,183****NUMBER OF PAGES TO FOLLOW: 35 SENT BY: cas****The original of this facsimile will be sent to you via:**☐ Regular Mail ☐ Overnight Mail ☐ Hand Delivery ☐ Will Not Be Sent☐ Please initial acknowledgment of receipt by return facsimile _____

NOTE: The information contained in this facsimile message is confidential and may be subject to attorney-client privilege and is intended only for the use of the above named individual(s). If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone(650/846-7517) and return the original transmission to us by mail.

I hereby certify that this correspondence is being sent by facsimile transmission in accordance with § 1.6(d) addressed to (703) 308-4556 (TC1600), the Commissioner for Patents, Washington, D.C. 20231 on the date shown below.

Date: April 26, 2002

By:


Carol A. See

PATENT
Docket No. GC394C1-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
)	Group Art Unit: 1652
David A. Estell)	
)	
Serial No.: 09/932,183)	Examiner: Unassigned
)	
Filed: August 17, 2001)	
)	
For: Proteases from Gram-Positive)	
Organisms)	

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Request for Substitute Papers dated April 10, 2002, enclosed please find the following documents: Copy of Request for Substitute Papers dated April 10, 2002, and copies of Transmittal Letter, Associate Power of Attorney, and Request for Substitute Papers dated March 19, 2002, all of which were mailed April 10, 2002 via Express Mail forwarding copies of documents previously submitted November 13, 2002. Copies of documents previously sent November 13, 2001 via first class mail include: Transmittal Letter for Notice to Comply, Preliminary Amendment, Statement of Sameness, copy of Notice to Comply mailed 9/21/2001, and paper copy of sequence listing, Please note the diskette copy of sequence listing was resubmitted via Express Mail on April 10, 2002.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this paper, and to credit any overpayment, to

GC394C1-US T-Req. Subst. Papers

U.S. Serial No. 09/932,183
Page 2

Deposit Account No. 07-1048 (Docket No. GC394C1-US). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: April 26, 2002


Kamrin T. MacKnight
Registration No. 38,230

Genencor International, Inc.
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GC394C1-US T-Req. Subst. Papers

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that this correspondence is being deposited with the US Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail Label No. EL 873071543 US, and addressed to Box Duplicate TC1600, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327 on the date shown below:

Date: April 10, 2002By: Carol A. See

Carol A. See

PATENT
Docket No. GC394C1-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
)	Group Art Unit: 1652
David A. Estell)	
)	
Serial No.: 09/932,183)	Examiner: Unassigned
)	
Filed: August 17, 2001)	
)	
For: Proteases from Gram-Positive)	
Organisms)	

TRANSMITTAL LETTER

Box Duplicate TC1600
Commissioner of Patents
Arlington, VA 22202-2327

Sir:

In response to the Request for Substitute Papers dated March 19, 2002, enclosed please find the following documents: Request for Substitute Papers, Associate Power of Attorney, acknowledgement postcard and copies of the following documents previously submitted on November 13, 2001: Transmittal Letter for Notice to Comply (in duplicate), Preliminary Amendment, Statement of Sameness, Notice to Comply, paper copy of sequence listing, and diskette copy of sequence listing.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this paper, and to credit any overpayment, to

GC394C1 T-Reqsubpapers

U.S. Serial No. 09/932

Page 2

Deposit Account No. 07-1048 (Docket No. GC394C1-US). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: April 10, 2002


Kamrin T. MacKnight
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GC394C1-US T-Reqsubpapers



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/932,183	08/17/2001	Estell, David	GC394C1-US

Genencor International, Inc.
925 Page Mill Road
Palo Alto CA 94034



Title: Proteases from gram-positive organisms

Date Mailed: 03/19/2002

Request for Substitute Papers

The papers filed on 8/7/01 (certificate of mailing dated 11/13/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-308-4556(TC1600)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate TC1600, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Kamrin T. MacKnight Registration No.: 38,230

Signature: *Kamrin T. MacKnight* Date: 4/9/02

I hereby certify that this correspondence is being deposited with the US Postal Service with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Box Sequence, Washington, D.C. 20231, on the date shown below.

Date: November 13, 2001By: Carol A. See

Carol A. See

PATENT**Docket No. GC394C1-US****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

David A. Estell

Serial No. 09/932,183

Filed: August 17, 2001

For: Proteases from Gram-Positive
Organisms

Group Art Unit: 1652

Examiner: Unassigned

TRANSMITTAL LETTER FOR NOTICE TO COMPLY**BOX SEQUENCE**Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated September 21, 2001, enclosed please find:

- ☐ a Combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e);
- ☐ Petition for a _____ Month Extension of Time;
- ☐ Assignment document, Form PTO-1595, and the \$40.00 Assignment Recording Fee;
- ☐ Revocation & New Power of Attorney;
- ☒ Preliminary Amendment
- ☒ Statement of Sameness
- ☒ Paper copy of Sequence Listing (11 pages)
- ☒ Diskette copy of Sequence Listing

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-US Serial No. 09/932

Page 2

- ☐ Request for Use of an Identical Computer Readable Form in Another Application Under 37 CFR § 1.821(e);
- ☒ Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (copy of Notice to be returned with response);
- ☐ Form PTO-1533 (copy of Notice to be returned with response); and
- ☐ Other. _____
- ☐ Charge \$ _____ to Deposit Account No. 07-1048.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 07-1048 (Docket No. GC394C1-US). A duplicate of this paper is enclosed.

Respectfully submitted,

Date: Nov 13, 2001

Victoria L. Boyd
Victoria L. Boyd
Registration No. 43,510

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Tel: 650-846-7615
Fax: 650-845-6504

GC394C1-US T-Comply